

05-15-01

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Case Docket No.: 5000-1-203

PATENT APPLICATION TRANSMITTAL LETTER

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s) : Nam-Heon KIM

For : METHOD OF FABRICATING ELECTRO-ABSORPTION
MODULATOR INTEGRATED LASER

Enclosed are:

- ☒ Six (6) sheets of drawings. (Figures 1 - 6)
- ☒ An Assignment of the invention, to: SAMSUNG ELECTRONIC CO., LTD.
- ☒ A certified copy of Korean Patent Application No. 2000-37961.
- ☐ An Information Disclosure Statement, Form PTO 1449 and cited references.
- ☐ Applicant claims Small Entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- ☒ Executed ☐ unexecuted Declaration and Power of Attorney.
- ☒ A Filing Date as of the date of deposit in Express Mail is requested. The particulars of the Express Mail Deposit under 37 C.F.R. 1.10(b) are presented below.

EXPRESS MAIL "MAILING LABEL NO.": EL 806482705 US

DATE OF DEPOSIT : May 14, 2001

jc839 U.S. PTO
05/14/01

09854653 05-14-01

11033 U.S. PTO
09/854653
05/14/01

The Filing Fee has been calculated as shown below:

SMALL ENTITY					LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	O R	RATE	FEE
BASIC FEE				\$ 355	O R		\$ 710
TOTAL CLAIMS	12 - 20 =	0	X \$ 9 =	\$	O R	X \$18 =	\$
INDEP CLAIMS	2 - 3 =	0	X \$40 =	\$	O R	X \$80 =	\$
[] MULTIPLE DEPENDENT CLAIM PRESENTED			X \$135	\$	O R	X \$270	\$
			TOTAL	\$	O R	TOTAL	\$ 710

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

- [] Please charge my Deposit Account No. 11-1153 in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- [X] A check in the amount of \$ 750.00 to cover the Filing Fee (\$710.00) and Assignment Recordal (\$40.00) is enclosed.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 11-1153. A duplicate copy of this sheet is enclosed.
- [X] Any additional filing fees required under 37 C.F.R. 1.16.
- [] Any patent application processing fees under 37 C.F.R. 1.17.
- [X] The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or to credit any overpayment to Deposit Account No. 11-1153. A duplicate copy of this sheet is enclosed.
- [X] Any patent application processing fees under 37 C.F.R. 1.17.
- [] The Issue Fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance pursuant to 37 C.F.R. 1.311(b).

- [] Any Filing Fees under 37 C.F.R. 1.16 for presentation of extra claims.
- [] I hereby state that the content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.

Respectfully submitted,



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Date: May 14, 2001